

TFW
36211/2
[11129/2]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Michael GOOCH
Serial No. 018E : 09/447,319
Filed : November 22, 1999

For : **SYSTEM AND METHOD FOR
TRADING AN INSTRUMENT**

Examiner : Hani M. Kazimi

Group Art Unit : 3624
Confirmation No. : 3669
Customer No. : 26646

I hereby certify that this correspondence is being deposited with the
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Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
on

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Date: 6/12/2006

Signature: [Signature]

AARON C. DEDITCH
(33,865)

TRANSMITTAL

SIR:

Transmitted herewith for filing in the above-identified patent application is an
Amendment.

The Commissioner is authorized to charge the appropriate fees of \$250.00 for the five
(5) net added dependent claims to Deposit Account No. 11-0600, and is also authorized, as
appropriate and/or necessary, to charge any additional fees (including any Rule 136(a)
extension fees) or credit any overpayment to Deposit Account No. 11-0600. Two duplicate
copies of this transmittal letter are enclosed for these purposes.

Respectfully submitted,

Dated: 6/12/2006

By: [Signature]

Aaron C. Deditch
(Reg. No. 33,865)

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New York, New York 10004
(212) 425-7200

CUSTOMER NO. 26646

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AARON C. DEDITCH
(33,865)

AMENDMENT

SIR:

In response to the Office Action mailed on April 25, 2005 (the three-month response date for which is July 25, 2006), please reconsider the above-identified application based on the following:

Amendments to the CLAIMS are reflected in the listing of the claims which begins on page 2 of this paper.

Remarks begin on page 8 of this paper.

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